

Handwritten mark



UNITED STATES PATENT AND TRADEMARK OFFICE

13

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/806,545	06/18/2001	Takeshi Fujita	450131-03247	1281
20999 7590 07/29/2004 FROMMER LAWRENCE & HAUG 745 FIFTH AVENUE- 10TH FL. NEW YORK, NY 10151			EXAMINER ZHOU, TING	
			ART UNIT	PAPER NUMBER
			2173	
DATE MAILED: 07/29/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

Handwritten mark

<b>Office Action Summary</b>	<b>Application No.</b> 09/806,545	<b>Applicant(s)</b> FUJITA ET AL.	
	<b>Examiner</b> Ting Zhou	<b>Art Unit</b> 2173	

3

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 12 May 2004.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-13, 15 and 17-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-13, 15 and 17-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 May 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☒ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. The amendment filed on 12 May 2004 have been received and entered. Claims 1-24 as amended are pending in the application. Of the above claims, claims 14 and 16 have been cancelled by the applicant and are therefore withdrawn from consideration; claims 21-24 have been added.

### ***Claim Objections***

2. Claim 8 is objected to because of the following informalities: the use of "file managed in the second managing area is access" on line 14 of the claims is grammatically incorrect. It is suggested that the phrase be changed to -- file managed in the second managing area is accessed --. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000.

Art Unit: 2173

Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

3. Claims 1-17 and 19-24 are rejected under 35 U.S.C. 102(e) as being anticipated by Hoyle U.S. Patent 6,141,010.

Referring to claim 1, Hoyle teaches a computer readable recording medium (computer readable apparatus and memory recited in column 4, lines 53-57) on which an image file, including data used to display an image, is recorded (a data set, including the image, for each banner is recorded in the banner database) (column 14, lines 59-65), wherein, at least one of identification information inherent to the image file, pointers of one or a plurality of information, an index of a menu item corresponding to the image file and an entity of a predetermined program is recorded (in the banner database, each data set, or image file, includes information such as the image file names representing identification information inherent to the image file, destination link pointers to websites, an index or table of menu item information corresponding to the image file and an entity of a predetermined program such as the priority level or associated image file programs are recorded) (column 5, lines 48-67 and column 14, lines 59-67 through column 15, lines 1-6). This is further shown in Figure 7.

Referring to claim 2, Hoyle teaches a computer readable recording medium (computer readable apparatus and memory recited in column 4, lines 53-57) on which an image file is recorded (database recording data sets, or image files, for displaying banners) (column 14, lines 59-65), the image file including a first area for recording data used to display an image (the data set includes a first area for recording the image

Art Unit: 2173

themselves, such as "Banner01.gif", "Banner02.gif", etc., shown in Figure 7) (column 14, lines 59-60) and a second area for recording data related to but not used to display the image (the data set includes a second area for recording data related to the image, such as the destination link, associated categories, associated links, associated programs and priority level) (Figure 7), wherein, at least one of identification information inherent to the image file, pointers of one or a plurality of information and an entity of a predetermined program is recorded in the second area (in the banner database, each data set, or image file, includes a second area for storing information such as the image file names representing identification information inherent to the image file, destination link pointers to websites, an index or table of menu item information corresponding to the image file and an entity of a predetermined program such as priority level or associated image file programs are recorded) (column 5, lines 48-67 and column 14, lines 59-67 through column 15, lines 1-6).

Referring to claim 3, Hoyle teaches a computer readable recording medium (computer readable apparatus and memory recited in column 4, lines 53-57) on which an image file, including data used to display an image, is recorded (a data set, including the image, for each banner is recorded in the banner database) (column 14, lines 59-65), wherein, at least one of identification information inherent to the image file, pointers of one or a plurality of information, an index of a menu item corresponding to the image file and an entity of a predetermined program is recorded in an area of the image file that is ignored when data from the image file is used to display an image (in the banner database, each data set, or image file, includes information such as the image file names representing identification information inherent to the image file, destination link pointers

Art Unit: 2173

to websites, an index or table of menu item information corresponding to the image file and an entity of a predetermined program such as priority level or associated image file programs are recorded; these information, exemplified by the categories of destination link, associated categories, associated links, associated programs and priority level, shown in Figure 7, are not displayed when displaying the banner; when displaying the banner, only the image itself is displayed) (column 5, lines 48-67 and column 14, lines 59-67 through column 15, lines 1-6). This is further shown in Figure 7.

Referring to claim 4, Hoyle teaches a compute readable recording medium (computer readable apparatus and memory recited in column 4, lines 53-57) on which an image file is recorded (a data set, including the image, for each banner is recorded in the banner database) (column 14, lines 59-65), wherein, a pointer to information used to display an image (a pointer to information such as the destination link of the banner) and an instruction for handling the information (such as the priority level of the banner and destination) are embedded in the image file, the pointer and the instruction configured to be dealt as one pair so that one pair or a plurality of pairs of the pointer and the instruction is recorded (the data set, or image file, represented by each row of the database shown in Figure 7, comprises the destination link, or pointer and the priority level, or the instructions for handling the banner; these information for each banner advertisement are stored as one row, or set of data in the database) (column 5, lines 48-67 and column 14, lines 59-67 through column 15, lines 1-6).

Referring to claim 5, Hoyle teaches recording means on which an image file is recorded (storing data sets for banners in the database) (column 14, lines 59-60), input means for inputting at least one of pointers of one or a plurality of information, an index

Art Unit: 2173

for a menu item corresponding to the image file and identification information of an entity of a predetermined program (input means such as keyboard and mouse are used to input information such as pointers, or URL of the desired webpage) (column 7, lines 17-18 and column 9, lines 49-52) and information processing means for recording, at least one of the pointer of the information input from the input means, the index of the menu items corresponding to the image file and the entity of the program corresponding to the identification information (storing, in the banner database, each data set, or image file, including information such as the image file names representing identification information inherent to the image file, destination link pointers to websites, an index or table of menu item information corresponding to the image file and an entity of a predetermined program such as priority level or associated image file programs are recorded) (column 5, lines 48-67 and column 14, lines 59-67 through column 15, lines 1-6).

Referring to claim 6, Hoyle teaches a medium on which an image file creation program (the GUI module and ADM module shown in Figure 2) is recorded which causes a computer to execute the steps of receiving at least one of pointers of one or a plurality of information, an index for a menu item corresponding to the image file and identification information of an entity of a predetermined program and recording, in a data stream of an image file stored in memory means, the pointers of the information input from the input means, the index of the menu items corresponding to the image file and/or the entity of the program corresponding to the identification information (creating a banner database comprising data sets, or image files, including information such as the image file names representing identification information inherent to the image file,

Art Unit: 2173

destination link pointers to websites, an index or table of menu item information corresponding to the image file and an entity of a predetermined program such as priority level or associated image file programs are recorded) (column 5, lines 48-67 and column 14, lines 59-67 through column 15, lines 1-6).

Referring to claim 7, Hoyle teaches a medium on which an image file, comprising a recording medium according to claims 1, 2, 3 or 4 (computer readable apparatus and memory recited in column 4, lines 53-57 and column 14, lines 59-67), and information processing means for reading out the image file from the recording medium in response to a request from a terminal device and returning it to the terminal device (displaying the image file, or destination banner/URL in response to user interaction with the computer), as recited in column 5, lines 48-67 and column 9, lines 49-57.

Referring to claim 8, Hoyle teaches a medium for recording an image file processing program (the GUI module and ADM module shown in Figure 2) in order to cause a computer to execute the steps of monitoring an access to an information image file managed in a first managing area (the first window region displayed on the GUI comprises user-selectable items, associated with image files, or data sets) (column 4, lines 19-32), displaying an image based on image related information included in the information image file on a second managing area, and managing the information image file on the second managing area, when the information image file managed in the first managing area is accessed (the second program module, in response to user selection of one of the links in the first window region, can select associated information, stored as data sets, or image files, in the database, to be displayed in the second, or information display region) (column 4, lines 32-49), monitoring an access to an information image



Art Unit: 2173

file managed in the second managing area, accessing and executing a file pointed to by the predetermined pointer or a corresponding file stored in advance on a local recording medium, when the information image file managed in the second managing area is accessed (in response to user interaction with the computer, such as user clicking on a banner advertisement displayed in the second, or information display region, the programs, or links associated with the advertisement are initiated) (column 9, lines 49-59). For example, as shown in Figures 5, 5a and 7, in response to the category selected by the user from the first managing area comprising the hierarchical display of selectable categories (Figure 5a), corresponding banner ad 78 is displayed in the second managing area (Figure 5), and upon user selection of the advertising banner, the user is directed to the corresponding link associated with the banner; the information associated with each banner is stored as an image file data set in the database (each row of the banner database) (Figure 7).

Referring to claim 9, Hoyle teaches an access to an information image file managed in the first managing area being a drag and drop operation for a corresponding image, as recited in column 10, lines 11-18.

Referring to claim 10, Hoyle teaches an access to an information image file managed in the first managing area being a selection operation for a menu displayed with respect to the corresponding image, as recited in column 9, lines 39-44 and further shown by reference character “70” in Figure 5.

Referring to claim 11, Hoyle teaches an access to an information image file managed in the first managing area being a drag and drop operation for a menu (drag and

Art Unit: 2173

drop operations for adding or removing buttons on the toolbar menu) displayed with respect to a corresponding information image file, as recited in column 11, lines 21-29.

Referring to claim 12, Hoyle teaches an access to an information image file managed in the first managing area being a click operation for a corresponding image, as recited in column 9, lines 57-59 and column 15, lines 3-6.

Referring to claim 13, Hoyle teaches an access to an information image file managed in the first managing area being a drag and drop operation for a corresponding information image file, as recited in column 10, lines 11-18.

Referring to claim 15, Hoyle teaches the first managing area being a window for viewing a web page of a WWW browser, a window for viewing a body of e-mail software, a window for checking an attached file of e-mail software, a folder window for referring a file stored on a recording medium, or a window which is displayed by operating input means for an image or an image file (the first managing area displays a graphical user interface comprising a window capable of displaying links to different information sources, such as WWW pages or image files such as banners), as recited in column 4, lines 22-35.

Referring to claim 17, Hoyle teaches the first managing area and second managing area formed and managed by independent programs (the first managing area is formed and managed by the first program module, or GUI module and the second managing area is formed and managed by the second program module, or the ADM module), as recited in column 4, lines 19-50 and column 6, lines 62-65.

Referring to claim 19, Hoyle teaches a view of the first managing area and a view of the second managing area displayed simultaneously with a frame, as shown in Figures

Art Unit: 2173

5 and 5a, where the area for displaying ads is shown in the same window, or frame, as the GUI containing the menu item icons for manipulating the interface display.

Referring to claim 20, Hoyle teaches when an access is made to an information image file managed in a first markup description language file which is a first managing area, a second markup description language file which is a second managing area is read out from memory means, and, after the second markup description language file is updated so that the second markup description language file manages the information image file, the second markup description language file is executed (when the user selection of a link in the first program module is detected, the second program module is operable to select and display the informational data), as recited in column 4, lines 19-49.

Referring to claims 21-24, Hoyle teaches an information processing means for reading out the image file from the recording medium in response to a request and displaying the image file on a display device (in response to user selection of a category shown in Figure 5a, such as "Sports", "Players", etc., an associated banner advertisement image associated with the category selection will be displayed) (column 4, lines 19-49, column 5, lines 48-67 and column 9, lines 49-59).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Art Unit: 2173

4. Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hoyle U.S. Patent 6,141,010, as applied to claims 8 and 17 above, and further in view of Shaw et al. U.S. Patent 5,809,242.

Referring to claim 18, while Hoyle teaches all of the limitations as applied to claims 8 and 17 above, Hoyle fails to explicitly teach view selection tabs for selecting one of views of a first managing area and a view of a second managing area. Shaw et al. teach an image file (banner) processing device similar to that of Hoyle. In addition, Shaw et al. teach displaying view selection tabs for selecting one of views of a first managing area (first managing area tab for reading emails) and a view of a second managing area (second managing area tab for writing emails) in order to display selectively the managing area at a side of a tab selected through input means, as recited in column 16, lines 66-67 and continuing onto column 17, lines 1-19, and further shown in Figure 8. It would have been obvious to one of ordinary skill in the art, having the teachings of Hoyle and Shaw et al. before him at the time the invention was made, to modify the image file processing system of Hoyle to include the use of selection tabs taught by Shaw et al. It would have been advantageous to make such a combination in order to better organize the display of information shown to the user; separating information categories into groups and displaying them in separate tabs will allow the users to see all the functions and information relating to one group, without getting confused by the cluttered display of mixed information from multiple groups.

Art Unit: 2173

5. The prior art made of record on form PTO-892 and not relied upon is considered pertinent to applicant's disclosure. Applicant is required under 37 C.F.R. § 1.111(c) to consider these references fully when responding to this action. The documents cited therein teach similar methods and systems for storing images and their associated information together.

### ***Response to Arguments***

6. Applicant's arguments filed on 12 May 2004 have been fully considered but they are not persuasive.

Applicant particularly pointed out that the independent claims recite 'an image file, including *data used to display an image*, recorded on a computer readable recording medium "wherein at least one of identification information inherent to the image file, pointers of one or a plurality of information, an index of a menu item corresponding to the image file, and an entity of a predetermined program is recorded in the image file."' Applicant further asserts that the Hoyle references fails to teach the image file containing the image data together with the link to the image data and that they are actually separate from each other. The examiner respectfully disagrees. Hoyle teaches that each data set file in the database, or each image file, contains the image itself and also data used to display the image, such as the name of the image file, the destination link, associated categories, associated links, associated programs and priority level (column 5, lines 48-67 and column 14, lines 59-67 through column 15, lines 1-6). For example, all of the above information for the banner image "Banner01.gif" are stored together as one dataset on the first row of the database shown in Figure 7. Therefore, the image file comprising the

Art Unit: 2173

image data, or banner image, also comprises the associated and destination links for the image file. Since the banner images and the corresponding links are stored together as one data set, it can be seen that the Hoyle reference anticipates the subject invention.

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

### ***Conclusion***


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ting Zhou whose telephone number is (703) 305-0328. The examiner can normally be reached on Monday - Friday 8:00 am - 5:30 pm.

Art Unit: 2173

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cabeca can be reached on (703) 308-3116. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

14 June 2004



CAO (KEVIN) NGUYEN  
PRIMARY EXAMINER